

## Pumping Lemmas

The main usefulness of the two pumping lemmas is to prove that a particular language is not regular, or context-free, as the case may be. Each lemma states that every language in the class has a certain property, and thus if we can prove that a given language  $L$  does not have that property,  $L$  is not in the class.

**Lemma 1 (Pumping Lemma for Regular Languages)** *If  $L$  is a regular language, there exists a positive integer  $p$ , called the pumping length of  $L$ , such that for any string  $w \in L$  whose length is at least  $p$ , there exist strings  $x, y, z$  such that the following conditions hold.*

1.  $w = xyz$
2.  $|y| \geq 1$
3.  $|xy| \leq p$
4. for any  $i \geq 0$ ,  $xy^iz \in L$ .

Note that the value of  $p$  is not unique: if  $p$  is a pumping length of  $L$ , so is every integer larger than  $p$ . There is a minimum pumping length.

### Example

Let  $L$  be the language of all base 2 numerals for multiples of 5, where leading zeros are not allowed. The minimum pumping length is 5. We won't prove that, but for example, if  $w = 11001$ , which means 25, we let  $x = 1$ ,  $y = 10$ , and  $z = 01$ . The first three conditions obviously hold. If we let  $i = 0$ , we get  $xz = 101$ , which means 5, while if  $i = 2$  or  $i = 3$ , we get  $xy^2z = 1101001$  which means 105, or  $xy^3z = 110101001$  which means 425. The pumping length cannot be 4, since 1111, which means 15, does not have a pumpable substring. Thus, 5 is minimum.

Another example is  $w = 1110011$ , which means 115. Let  $x = 11$ ,  $y = 100$ , and  $z = 11$ .

**Lemma 2 (Pumping Lemma for Context-Free Languages)** *If  $L$  is a context-free language, there exists a positive integer  $p$ , called the pumping length of  $L$ , such that for any string  $w \in L$  whose length is at least  $p$ , there exist strings  $u, v, x, y, z$  such that the following conditions hold.*

1.  $w = uvxyz$
2.  $|v| + |y| \geq 1$
3.  $|vxy| \leq p$
4. for any  $i \geq 0$ ,  $uv^ixy^iz \in L$ .

Note that the value of  $p$  is not unique: if  $p$  is a pumping length of  $L$ , so is every integer larger than  $p$ . There is a minimum pumping length.

### Example

Let  $L$  be the language consisting of all palindromes over  $\{a, b\}$ . The following is an unambiguous grammar for  $L$ .

$$S \rightarrow aSa | bSb | a | b | \lambda$$

What is the minimum pumping length of  $L$ ?

The answer is 3. If a palindrome  $w$  has even length, the substring  $aa$  or  $bb$  in the middle of the string. That is,  $w = uaa u^R$  or  $w = ubb u^R$ . Suppose  $w = uaa u^R$ . We let  $u = u$ ,  $v = a$ ,  $x = \lambda$ ,  $y = a$ , and  $z = u^R$ . The first three conditions are obviously satisfied. For any  $i \geq 0$ ,  $uv^ixy^iz = ua^i a^i u^R \in L$ . The case that  $w = ubb u^R$  is similar.

If  $w$  has odd length, then there are four possibilities:

$$w = uaaa u^R$$

$$w = uabau^R$$

$$w = ubabu^R$$

$$w = ubbbu^R$$

In the first case, we let  $u = u$ ,  $x = a$ ,  $y = a$ , and  $z = u^R$ . In the second case, we let  $u = u$ ,  $x = a$ ,  $y = b$ , and  $z = u^R$ . The four conditions are satisfied. The other two cases are similar.

The minimum pumping length cannot be 2, because  $w = aba \in L$ , and the four conditions cannot be fulfilled for  $w$  with  $p = 2$ .