## University of Nevada, Las Vegas Computer Science 456/656 Spring 2022 Assignment 4: Due Wednesday March 30 2022

## Name:\_\_\_\_\_

You are permitted to work in groups, get help from others, read books, and use the internet. You will receive a message from our graduate assistant telling you how to turn in the assignment.

Throughout this assignment, you may assume that a language is recursively enumerable if and only if it is accepted by some machine. Recall that "L is recursively enumerable (RE)" means that there is a machine that enumerates L.

- 1. True/False/Open
  - (a) **F** Every subset of a regular language is regular.
  - (b) **O** If  $L_1$  is  $\mathcal{NP}$ -complete and  $L_2$  is  $\mathcal{NP}$ , there is a  $\mathcal{P}$ -TIME reduction of  $L_1$  to  $L_2$ .
  - (c) **T** If  $L_1$  is  $\mathcal{NP}$ -complete and  $L_2$  is  $\mathcal{NP}$  and there is a  $\mathcal{P}$ -TIME reduction of  $L_1$  to  $L_2$ , then  $L_2$  is  $\mathcal{NP}$ -complete.
  - (d) **O** If L is  $\mathcal{NP}$ -complete, there is no polynomial time algorithm which decides L.
  - (e) **T** Every  $\mathcal{NP}$  language is decidable.
  - (f)  $\mathbf{O} \mathcal{NP} = \operatorname{co-}\mathcal{NP}.$
  - (g) **T** If  $L_1$  is undecidable and there is a recursive reduction of  $L_1$  to  $L_2$ , then  $L_2$  is undecidable.
  - (h) **F** The CF grammar equivalence problem is recursively enumerable.
  - (i) **T** If a language L is decidable, then there must be a machine that enumerates L in canonical order.
  - (j) **F** If there is a machine that enumerates a language L, then L must be decidable.
  - (k) **T** If there is a machine that accepts a language L, then L must be recursively enumerable (RE).
  - (1) **T** If a language L is decidable, there is a machine that enumerates L.
  - (m) **T** If there is a machine that enumerates a language L in canonical order, then L must be decidable.
  - (n) **O** If  $f : \mathcal{N} \to \mathcal{N}$  is a one-to-one and onto function, where  $\mathcal{N}$  is the natural numbers (positive integers) we define the *inverse* of f to be a function  $g : \mathcal{N} \to \mathcal{N}$  such that f(g(n)) = n and g(f(n)) = n for all  $n \in \mathcal{N}$ . There exists a one-to-one onto function  $f : \mathcal{N} \to \mathcal{N}$  which can be computed in polynomial time whose inverse cannot be computed in polynomial time. (Such a function is called a *one-way* function.)
  - (o) **F** There exists a recursive function T such that, for any provable statement P, there is a proof of P whose length does not exceed T(n), where n is the length of P.

2. Consider the following CF grammar and LALR parser.

	ACTION						GOTO	
1. $S \rightarrow i_2 S_3$		a	i	e	w	\$	$\parallel S$	
2. $S \rightarrow i_2 S_3 e_4 S_5$	0	s8	<i>s</i> 2		<i>s</i> 6		1	
3. $S \to w_6 S_7$	1					halt		
4. $S \rightarrow a_8$	2	s8	<i>s</i> 2		<i>s</i> 6		3	
	3			<i>s</i> 4		r1		
	4	s8	s2		s6		5	
	5			r2		r2		
	6	s8	s2		s6		7	
	7			r3		r3		
	8			r4		r4		

Walk through the computation of this parser where the input string is *iiwaeia*.

\$ <sub>o</sub>	:	ii wa eia \$		
$\$_0 i_2$	:	iwaeia\$	<i>s</i> 2	
$\$_0 i_2 i_2$	:	waeia\$	<i>s</i> 2	
$b_0 i_2 i_2 w_6$	:	aeia\$	<i>s</i> 6	
$a_0 i_2 i_2 w_6 a_8$	:	eia\$	s8	
${}^{\circ}_{0}i_{2}i_{2}w_{6}S_{7}$	:	eia\$	r4	4
${}^{\statestatestatestatestatestatestatestat$	:	eia\$	r3	43
${}^{\circ}_{0}i_{2}i_{2}S_{3}e_{4}$	:	ia\$	s4	43
${}^{\circ}_{0}i_{2}i_{2}S_{3}e_{4}i_{2}$	:	a\$	<i>s</i> 2	43
$a_0i_2i_2S_3e_4i_2a_8$	:	\$	<i>s</i> 8	43
$angle_0 i_2 i_2 S_3 e_4 i_2 S_3$	:	\$	r4	434
${}^{\circ}_{0}i_{2}i_{2}S_{3}e_{4}S_{5}$	:	\$	r1	4341
${\$}_0i_2S_3$	:	\$	r2	43412
$S_0S_1$	:	\$	r1	434121
1 1/				

halt

3. Let L be a decidable language. Write a program in pseudo-code that enumerates L in canonical order.

Let  $\Sigma$  be the alphabet of L. Let  $w_i$  be the  $i^{\text{th}}$  string in the canonical order of  $\Sigma^*$  The following program enumerates L in canonical order.

For *i* from 1 to  $\infty$ If $(w_i \in L)$  write  $w_i$  4. Let  $L = \{\langle G_1 \rangle \langle G_2 \rangle : G_1, G_2 \text{ are CF grammars that are not equivalent}\}$ . Prove that L is recursively enumerable.<sup>1</sup> Assume that the terminal alphabet of both grammars is  $\Sigma$ . We need only give a program which enumerates L.

Let  $L_2 = \{ \langle G_1 \rangle \langle G_2 \rangle : G_1, G_2 \text{ are CF grammars} \}.$ 

Note that  $L \subseteq L_2$ , and  $L_2$  is decidable, in fact, it is  $\mathcal{P}$ -TIME, since all we have to do is check that both  $\langle G_1 \rangle$  and  $\langle G_2 \rangle$  describe CF grammars. Thus  $L_2$  is recursively enumerable in canonical order. Consider the following program, P. For n from 1 to  $\infty$ 

For all  $\langle G_1 \rangle \langle G_2 \rangle \in L_2$  of length no greater than n

For all  $w \in \Sigma^*$  of length no greater than n

If  $(w \in L(G_1) \text{ and } w \notin L(G_2))$  write  $\langle G_1 \rangle \langle G_2 \rangle$ Else if  $(w \in L(G_2) \text{ and } w \notin L(G_1))$  write  $\langle G_1 \rangle \langle G_2 \rangle$ 

We need to show that P enumerates L. Suppose  $u = \langle G_1 \rangle G_2 \in L$ . Let i = |u|. Let  $w \in \Sigma^*$  be the shortest string over  $\Sigma$  which is generated by one of those grammars but not the other. (Such a string must exist, since the two grammars are not equivalent.) Let j = |w|. Let  $n = \max\{i, j\}$ . During the  $n^{\text{th}}$  iteration of the outer loop, u will be written. On the other hand, if  $G_1$  and  $G_2$  are equivalent, the string u will never be written. Thus P enumerates L.

5. Prove that the halting problem is undecidable.

Recall that we can define L(M), for any machine M, to be the set of all strings accepted by M, that is, all strings w such that M halts with input w. Let HALT = { $\langle M \rangle w : w \in L(M)$ }. We prove that HALT is undecidable by contradiction. Assume HALT is decidable. Let DIAG = { $\langle M \rangle : \langle M \rangle \notin L(M)$ }, the diagonal language. Note that  $\langle M \rangle \in$  DIAG if and only if  $\langle M \rangle \langle M \rangle \notin$  HALT. Thus, DIAG is decidable.

Let  $M_{\text{DIAG}}$  be a machine which decides DIAG. Does  $M_{\text{DIAG}}$  accept its own encoding?

By definition of DIAG,  $\langle M_{\text{DIAG}} \rangle \in L(M_{\text{DIAG}})$  if and only if  $\langle M_{\text{DIAG}} \rangle \notin$  DIAG. By the definition of  $M_{\text{DIAG}}$ ,  $\langle M_{\text{DIAG}} \rangle \in L(M_{\text{DIAG}})$  if and only if  $\langle M_{\text{DIAG}} \rangle \in$  DIAG. This is a contradiction. We conclude that our assumption is false, that is, HALT is undecidable.

6. Given that 3-SAT is  $\mathcal{NP}$ -complete, prove, by reduction, that IND, the independent set problem, is also  $\mathcal{NP}$ -complete.

We give a polynomial time reduction R of 3-SAT to IND. For any Boolean expression E in 3-CNF form, we define a graph G and a number k such that G has an independent set of size k if and only if E is satisfiable.

Let  $E = C_1 * C_2 * \cdots * C_k$  be a Boolean expression in 3-CNF form. Each clause  $C_i$  is the disjunction of three terms, each of which is a variable or the negation of a variable. We write  $C_i = t_{i,1} + t_{i,2} + t_{i,3}$ , where the  $\{t_{i,m}\}$  are the terms. Let G be the graph whose vertices are  $\{v_{i,m}\}$  for  $1 \le i \le k$  and m = 1, 2, 3. There are two sets of edges of G, short edges and long edges. There is a short edge between  $v_{i,m}$  and  $v_{i,n}$ for any i if  $n \ne m$ . There is a long edge from  $v_{i,m}$  to  $v_{j,n}$  if  $t_{i,m} * t_{j,n}$  is a contradiction: that is, if one of those terms is a variable x and the other is !x This function (from a 3-CNF expressions to (G, k)) is clearly polynomial time.

<sup>&</sup>lt;sup>1</sup>We know that L is not decidable, since the CF grammar equivalence problem is undecidable.

Suppose E is satisfiable. Pick a satisfying assignment of E. For each  $i \in \{1, ..., k\}$ , pick one term of  $C_i$  which is true under that assignment, a total of k terms altogether. Let S be the set of vertices of G corresponding to those terms. No two members of S are connected by a short edge, since the terms are in separate clauses. No two members of S are connected by a long edge, because the terms do not contradict. Therefore S is an independent set of vertices of G of order k.

Conversely, suppose S is an independent set of vertices of G of order k, and let T be the corresponding set of terms of E. Choose an assignment such that each member of T is true. Since no two members of T contradict, this can be done. There could be some variables which are not mentioned in T. These variables can be arbitrarily assigned true or false. Since there is at least one true term in each clause, each clause becomes true under that assignment, and thus E is satisfiable.